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(REV . 12-2004) TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371**

A-71606-1/MSS (463035-870)

June 23, 2002

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/US2003/19984

INTERNATIONAL FILING DATE June 23, 2003

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

PRIORITY DATE CLAIMED

ATTORNEY'S DOCKET NUMBER

TITLE OF INVENTION

FORM PTO-1390

METHOD FOR ENERGY-ASSISTED ATOMIC LAYER DEPOSITION AND REMOVAL APPLICANT(S) FOR DO/EO/US Aubrey L Helms, Jr., Kerem Kapkin, Sang-In Lee, Yoshihide Senzaki Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. 冈 This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. 4. П The US has been elected (Article 31). 5. 冈 A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is transmitted herewith (required only if not transmitted by the International Bureau). b. has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). a. b. had been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

Items 11 to 20. below concern document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included

An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36

13. A preliminary amendment.

(35 U.S.C. 371(c)(5)).

9.

10.

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- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. П A substitute specification.
- 16. A change of power of attorney and/or address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4)

An oath or declaration (unsigned) of the inventor(s) (35 U.S.C. 371(c)(4)).

- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. Other items or information:

A copy of Notification of Transmittal of International Search Report, Check no. 302265 in the amount of \$1840.00, and a return stamped addressed postcard.

DT09 Rec'd PCT/PTO 2 2 DEC U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US2003/19984 A-71606-1/MSS (463035-870) The following fees are submitted: a) Basic national fee \$300.00 \$ 300.00 b) Examination fee \$200.00 \$ 200.00 \$500.00 \$ 500.00 TOTAL OF ABOVE CALCULATIONS = \$1000.00 \$ 1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction **RATE** thereof (round up to a whole number 25 - 100 0/50 =x \$250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$130.00 claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED **NUMBER EXTRA RATE** 27 - 20 =\$50.00 \$350.00 Total claims х 3 -3 = 0 \$ Independent claims х \$200.00 \$360.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00 **TOTAL OF ABOVE CALCULATIONS =** \$1,840.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by П SUBTOTAL =\$1,840.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$1,840.00 Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$1,840.00 Amount to be \$ refunded: \$ charged: A check in the amount of \$1,840.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. A duplicate coy of this sheet is enclosed. 50-2319 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. CUSTOMER NUMBER 32940

NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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"EXPRESS MAIL" Mailing Label Number EV 182 097 346 US Date of Deposit: December 22, 2004

I hereby certify that the below-listed documents are being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service Under 37 CFR 1.10 on the date indicated above and is

addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.